Unification SLD Resolution Computed Answers SLD Trees Four-Port Model

Logic Programming and Deductive Databases

Chapter 5: SLD Resolution

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Objective	es			

After completing this chapter, you should be able to:

- define most general unifier of two termns or literals.
- compute a most general unifier of two terms or literals.
- define the resut of an SLD resolution step for a given proof goal and applicable rule.
- develop an SQL-proof tree for a given query and logic program.
- understand the Prolog debugger output.

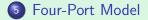
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Unificatio	on (1)			

- Unification is used in Prolog for parameter passing: It matches the actual parameters with the formal parameters of a predicate. It can fail.
- It can also be seen as an assignment that is that is
 - symmetric: X = a and a = X are both legal and have the same effect (X is bound to a),
 - one-time: Once a variable is bound to a value, it is always automatically replaced by that value. It is impossible to assign a new value.
- Unification does pattern matching of tree-structures (terms).

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Unificatio	on (2)			

Definition (Unifier):

- A unifier of two literals A and B is a substitution θ with $A\theta = B\theta$.
- A and B are called unifiable if there is a unifier of A and B.
- θ is a most general unifier of A and B if for every other unifier θ' of A and B there is a substitution σ with θ' = θ ∘ σ.

 $\theta \circ \sigma$ denotes the composition of θ and σ , i.e. $(\theta \circ \sigma)(A) = \sigma(\theta(A))$.

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Unificatio	on (3)			

Examples:

- p(X, b) and p(a, Y) are unifiable with most general unifier {X/a, Y/b}.
- q(a) and q(b) are not unifiable.
- Consider q(X) and q(Y):
 - $\{X/Y\}$ is a most general unifier of these literals.
 - {Y/X} is another most general unifier of these literals.
 (It maps both literals to q(X)).
 - {X/a, Y/a} is an example for a unifier that is not a most general unifier.

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Unificatio	on (4)			

Lemma:

- If there is a unifier of A and B, there is also a most general unifier (MGU).
- The most general unifier is unique up to variable renamings, i.e. if θ and θ' are both most general unifiers of A and B there is a substitution σ which is a bijective mapping from variables to variables such that θ' = θ ο σ.

Notation:

• Let mgu(A, B) be a most general unifier of A and B.

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Unificatio	on(5)			

unify(Literal/Term t, u): Substitution θ if t = u then $\theta := \{\}:$ else if t is a variable that does not occur in u then $\theta := \{t/u\}:$ else if u is a variable that does not occur in t then $\theta := \{u/t\};$ else if t is $f(t_1, \ldots, t_n)$ and u is $f(u_1, \ldots, u_n)$ then $\theta := \{\}:$ for i := 1 to n do $\theta := \theta \circ \text{unify}(t_i \theta, u_i \theta)$; else /* Different Functors/Constants */ $\theta :=$ "not unifiable":

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Unificatio	on (6)			

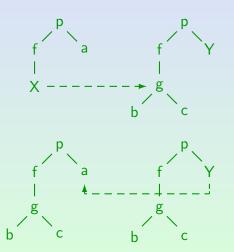
Example:

- p(X, X) and p(a, b) are not unifiable:
 - The first argument is unified with X/a.
 - However, then one has to unify p(a, a) and p(a, b). That is not possible.
- p(X, X) and p(Y, f(Y)) are not unifiable:
 - First, one unifies X and Y, e.g. with $\{X/Y\}$.
 - Then one has to unify p(Y, Y) and p(Y, f(Y)). It is not possible to bind Y to f(Y), because Y occurs in f(Y).

 $\{Y/f(Y)\}$ would not make the terms equal.

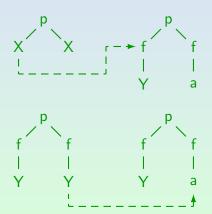
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Unificatio	on (7)			

Example:



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Unificatio	n(8)			

Example:



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Unificatio	on (9)			

Exercises:

- Compute the most general unifier if possible:
 - *length*([1,2,3],X) and *length*([],0).
 - length([1,2,3], X) and length([E|R], N1).
 - append(X, [2,3], [1,2,3]) and append([F|R], L, [F|A]).
 - p(f(X), Z) and p(Y, a).
 - p(f(a), g(X)) and p(Y, Y).
 - q(X, Y, h(g(X))) and q(Z, h(Z), h(Z)).
- Use Prolog to check the solution.



- Suppose that the following to literals are unified:
 - $p(X_1,...,X_n)$,
 - $p(f(X_0, X_0), \ldots, f(X_{n-1}, X_{n-1})).$
- The unifier is $\theta = \{X_1/f(X_0, X_0), X_2/f(f(X_0, X_0)), f(X_0, X_0)), \dots\}.$
- The test, whether X_k appears in t_k ("occur check") costs exponential time.

An explicit representation of θ would cost exponential time, too. But one normally uses pointers from variables to their values to represent a substitution internally: Then common subterms are stored only once.



- Unification is the basic step in Prolog evaluation. It is bad if it can take exponential time.
- Solutions:
 - Unification without occur check: dangerous.

This can give wrong solutions: E.g. consider the program consisting of $p \leftarrow q(X, X)$ and q(Y, f(Y)). Prolog systems without occur check answer "p" with "yes". It is also possible that unification or the printing of terms get into infinite loops.

- With better data structures, the occur check has linear runtime.
- Static analysis of a Program can show where no occur check is needed.

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SLD-Resolution (1)					

- SLD-resolution is the theoretical basis of Prolog execution.
- It is a theorem proving procedure that is complete for Horn clauses.
- SLD stands for "Linear resolution for Definite clauses with Selection function".

In resolution, the basic derivation step is to conclude $A \lor C$ from $A \lor B$ and $\neg B \lor C$: I.e. one matches complementary literals (with a unifier) and composes the rests of the two clauses. It is a refutation proof procedure that starts with the negation of the proof goal and ends with the empty clause (the obvious contradiction). In linear resolution, one of the two clauses is always the result of the previous step.



• The idea of SLD-resolution is to simplify the query (i.e. the proof goal) step by step to "true".

If seen as refutation proof procedure, the current clause is the negation of the query, and one ends with "false".

- Each step makes a literal from the query and a rule head from the program equal with a unifier.
- Then literal in the query is replaced by the body of the rule. This gives a new query (hopefully simpler).
- Facts are treated as rules with empty body. Using facts makes the query shorter.

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Example:

• Consider the following program:

(1)
$$\operatorname{ancestor}(X, Y) \leftarrow \operatorname{parent}(X, Y)$$
.
(2) $\operatorname{ancestor}(X, Z) \leftarrow \operatorname{parent}(X, Y) \land \operatorname{ancestor}(Y, Z)$.
(3) $\operatorname{parent}(X, Y) \leftarrow \operatorname{mother}(X, Y)$.
(4) $\operatorname{parent}(X, Y) \leftarrow \operatorname{father}(X, Y)$.
(5) $\operatorname{father}(\operatorname{julia}, \operatorname{eric})$.
(6) $\operatorname{mother}(\operatorname{eric}, \operatorname{bianca})$.

• Let the query be

ancestor(julia, bianca).

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- The given query is the first proof goal: ancestor(julia, bianca).
- The only literal in the proof goal can be resolved with (2) $\operatorname{ancestor}(X, Z) \leftarrow \operatorname{parent}(X, Y) \land \operatorname{ancestor}(Y, Z).$
- The most general unifier of query literal and rule head is ${X/julia, Z/bianca}$.
- Now the new proof goal is parent(julia, Y) ∧ ancestor(Y, bianca).

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• Prolog always works on the first literal of the proof goal (this is a special selection function):

 $parent(julia, Y) \land ancestor(Y, bianca).$

• It can be resolved with rule (4):

(4) $parent(X, Y) \leftarrow father(X, Y)$.

This gives

 $\texttt{father(julia,Y)} \land \texttt{ancestor(Y,bianca)}.$

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 Then the fact (5) is applied (with unifier {Y/eric}).
 (5) father(julia, eric).
 This gives the proof goal: ancestor(eric, bianca). Unification SLD Resolution Computed Answers SLD Trees Faur-Port Model 000000000000 SLD-Resolution (6)

 For the proof goal ancestor(eric, bianca), one can e.g. apply rule (1) ancestor(X, Y) ← parent(X, Y).

• This replaces the proof goal by: parent(eric, bianca).

 Now one can apply rule (3) parent(X, Y) ← mother(X, Y). and get the proof goal

mother(eric, bianca).

- This is given as a fact (line (6) in the program), and one gets the empty proof goal "□".
- Thus, the query indeed follows from the given program, and the answer "yes" is printed.

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SLD-Resolution (7)					

- A sequence of proof goals that
 - starts with a query Q and
 - ends in the empty goal

is called a derivation of Q from the given program.

- In the above derivation, the right program rule was "guessed" in each step. Prolog will try all possibilities with backtracking.
- If a query contains variables, the answer computed by a derivation is the composition of all substitutions applied.



Definition (Selection Function):

 A selection function is a mapping that, given a proof goal A₁ ∧ · · · ∧ A_n, returns an index *i* in the range from 1 to *n*. (I.e. it selects a literal A_i.)

Note:

- Prolog uses the first literal selection rule, i.e. it selects always A_1 in $A_1 \wedge \cdots \wedge A_n$.
- As we will see, in deductive databases, a good selection function is an important part of the optimizer.

The Prolog selection function also does not guarantee completeness for the answer "no". However, it is easy to implement with a stack.



Definition (SLD-Resolution Derivation Step):

- Let $A_1 \wedge \cdots \wedge A_n$ be a proof goal (query).
- Suppose the selection function chooses A_i.
- Let $B \leftarrow B_1 \land \cdots \land B_m$ be a rule from the program.
- Replace the variables in the rule by new variables, let the result be $B' \leftarrow B'_1 \wedge \cdots \wedge B'_m$.
- Let A_i and B' be unifiable, $\theta := mgu(A_i, B')$.
- Then the result of the SLD-resolution step is $(A_1 \wedge \cdots \wedge A_{i-1} \wedge B'_1 \wedge \cdots \wedge B'_m \wedge A_{i+1} \wedge \cdots \wedge A_n)\theta.$



Definition (Applicable Rule):

- In the above situation, the rule B ← B₁ ∧ · · · ∧ B_m is called applicable to the proof goal A₁ ∧ · · · ∧ A_n.
- I.e. after renaming the variables in the rule, giving
 B' ← B'₁ ∧ · · · ∧ B'_m, the head literal B' unifies with the selected literal A_i in the proof goal.

Note:

• Several rules in the program can be applicable to the same proof goal.

This leads to branches in the SLD-tree explained below.



• It is important that the variables of the rule are renamed such that there is no name clash with a variable in the proof goal.

Or a previous substitution, see computed answer substitution below.

- E.g. suppose the proof goal is p(X, a) and the rule to be applied is p(b, X) ←.
- There is no unifier of p(X, a) and p(b, X).
- However, variable names in rules are not important. If the variable in the rule is renamed, e.g. to X₁, the MGU is {X/b, X₁/a}.



Definition (SLD-Derivation, Successful SLD-Derivation):

- Let a logic program *P*, a query *Q*, and a selection function be given.
- An SLD-derivation for Q is a (finite or infinite) sequence of proof goals $Q_0, Q_1, \ldots, Q_n, \ldots$ such that
 - $Q_0 = Q$ and
 - Q_i for i ≥ 1 is the result of an SLD-derivation step from Q_{i-1} and a rule from P.
- An SQL-derivation is successful iff it is finite and ends in the empty clause □.



Definition (Failed SLD-Derivation):

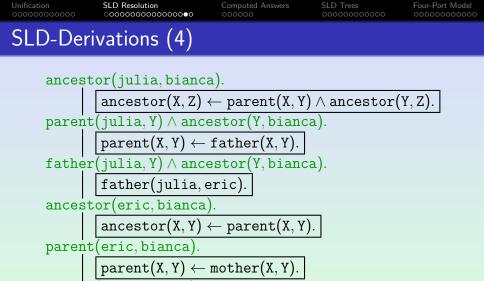
- An SLD-derivation Q₀,..., Q_n is failed iff it is finite, the last goal Q_n is not the empty clause □, and the given program does not contain a rule that is applicable to Q_n.
- Summary: Classification of SLD-Derivations:
 - Successful: Finite, ends in \Box .
 - Failed: Finite, ends not in □, no applicable rule.
 - Incomplete: Finite, there is an applicable rule.
 - Infinite.

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SLD-Derivations (3)					

Example (shown also on next page with applied rules):

- ancestor(julia, bianca).
- $parent(julia, Y) \land ancestor(Y, bianca)$.
- father(julia, Y) \land ancestor(Y, bianca).
- ancestor(eric, bianca).
- parent(eric, bianca).
- mother(eric, bianca).

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mother(eric, bianca).

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SLD-Derivations (5)					

Exercise:

- Let the following logic program be given:
 append([], L, L).
 append([F|R], L, [F|A]) ← append(R, L, A).
- Give a successful SLD-derivation for append([1], [2], [1,2]).
- What are the applied rules and most general unifiers in each step?

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Definition (Computed Answer Substitution):

• Given a logic program P and a query Q, let $Q_0 = Q, Q_1, \dots, Q_n$

be a successful SLD-derivation for Q, and $\theta_1, \ldots, \theta_n$ be the most general unifiers applied in the SLD resolution steps.

- Let θ be the composition $\theta_1 \circ \cdots \circ \theta_n$ of these unifers, restricted to the variables that occur in the query Q.
- Then θ is a computed answer substitution for Q. Or: The answer substitution computed by this SLD-derivation.



Example (For Program on Slide 18):

- A successful derivation for parent(X, Y) is as follows:
 - Goal: parent(X,Y). Rule: parent(X₁,Y₁) \leftarrow mother(X₁,Y₁). MGU: $\theta_1 := \{X/X_1, Y/Y_1\}.$
 - Goal: mother(X_1, Y_1). Rule: mother(eric, bianca). MGU: $\theta_2 := \{X_1/\text{eric}, Y_1/\text{bianca}\}.$

● Goal: □.

• $\theta_1 \circ \theta_2 = \{X/\text{eric}, Y/\text{bianca}, X_1/\text{eric}, Y_1/\text{bianca}\}.$

• Computed answer substitution: {X/eric, Y/bianca}.



Theorem (Correctness of SLD-Resolution):

 For every program P, query Q, and computed answer substitution θ: P ⊨ Q θ.

> I.e. the program (set of Horn clauses) logically implies the query (conjunction of positive literals) after the answer substitution is applied to the query. As always, variables are treated as universally quantified.

Theorem (Completeness of SLD-Resolution):

• For every program P, query Q, and substitution θ with $P \models Q \theta$, there is a computed answer substitution θ_0 and a substitution θ_1 such that $\theta = \theta_0 \circ \theta_1$.

I.e. for every correct answer substitution, SLD-resolution either computes it, or it computes a more general substitution.

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Note (On the Completeness):

- E.g. consider the program consisting of the rule $p(f(X)) \leftarrow .$
- Let the query be p(Y).
- The substitution θ := {Y/f(a)} is correct, i.e. it satisfies P ⊨ Q θ, but SLD-resolution computes the more general substitution θ₀ := {Y/f(X)}.
- θ₀ is more general than θ, because it can be composed with θ₁ := {X/a} to give θ.



Note (On Prolog):

• The correctness result holds only if the Prolog system does the occur check, e.g. try the program *P*:

```
p \leftarrow q(X,X).q(X, f(X)).
```

Prolog systems without occur check answer "p" with "yes", but p is not a logical consequence of P.

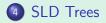
• The completeness result holds only if the Prolog system terminates. Prolog might run into an infinite loop before it finds all answers.

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- There are usually more than one SLD-derivation for a given query, because for every proof goal, more than one rule might be applicable.
- Every successful SLD-derivation computes only one answer substitution, but a query might have several distinct correct answer substitutions.

Thus, it is important for the completeness of SLD-resolution, that there can be several SLD-derivations for the same query.

• The different SLD-derivations for a given query are usually displayed in form of a tree, the SLD-tree.

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SLD-Tree	es (2)			

Definition (SLD-Tree):

- The SLD-tree for a program *P* and a query *Q* (and a given selection function) is constructed as follows:
 - Every node of the tree is labelled with a proof goal (query). The root node is labelled with *Q*.
 - $\bullet\,$ Let a node ${\mathcal N}$ be labelled with the proof goal

 $A_1 \wedge \cdots \wedge A_n$, $n \geq 1$.

Then \mathcal{N} has a child node for every rule

 $B \leftarrow B_1 \land \cdots \land B_m$

in *P* that is applicable to $A_1 \wedge \cdots \wedge A_n$.

The child node is labelled with the result of the corresponding SLD-resolution step.

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SLD-Tree	es (3)			

Example:

• Consider the following program:

Let the query be

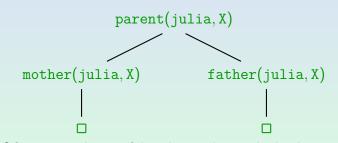
parent(julia, X).

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• The SLD-Tree is shown on the next page.



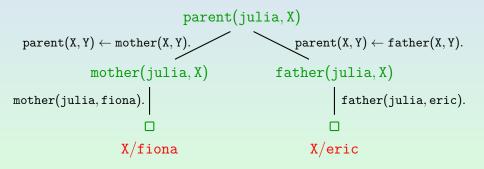
SLD-Tree:



 Often, it is also useful to know the applied rules and/or the computed answers. This information is shown in the variant on the next page.



SLD-Tree (with applied rules and computed answers):

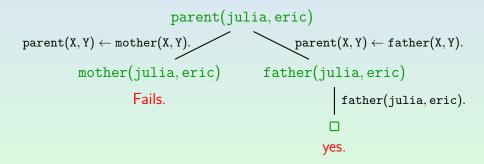


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Another Example (Is eric parent of julia?):



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Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 0000000000000	Four-Port Model
SLD-Tree	es (7)			

• Please note that branching in an SLD-tree happens only when there are several applicable rules.

There is exactly one child node for each applicable rule, i.e. a rule of which the head literal is unifiable with the selected literal in the current node. I.e. the branching is done only for disjunctions (\lor).

• If a rule has several body literals, these are added together to the current goal.

I.e. for conjunctions (\land) no branching is done (otherwise the binding of common variables would become difficult). If there is always only one applicable rule, the SLD-tree is a single path from root to leaf, even if the rules have many body literals. In the examples on the slides, the rules have only a single body literal, because there is little space. On Slide 30 an SLD-derivation (a single branch in the SLD-tree) is shown in which a rule has two body literals.

Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees ○0000000●000	Four-Port Model
SLD-Tree	es (8)			

Exercise:

- Consider again the program for list concatenation:
 - (1) append([], L, L).
 - (2) append([F|R], L, [F|A]) \leftarrow append(R, L, A).
- What is the SLD-tree for

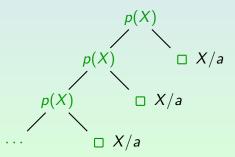
append(X, Y, [1,2]).

• Which answers do the different paths in the SLD-tree (i.e. the SLD-derivations) compute?



• Consider the following program:

• The query p(X) has the following SLD-tree:



Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees ○○○○○○○○○●○	Four-Port Model
Infinite P	Paths (2)			

• Prolog searches the SLD-tree depth first.

It also uses alternative rules always in the order that they are written down in the program.

- In this example, Prolog will get into an infinite loop and will not compute the correct answer substitution {X/a}. Thus, Prolog is not complete.
- However, if one would search the SLD-tree breadth-first, one would find all correct answer substitutions (because of the completeness of SLD-resolution).

Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees ○0000000000●	Four-Port Model
Infinite P	Paths (3)			

- But depth-first search is much more efficient to implement (with a stack).
- One solution is iterative deepening.

First, one searches the SLD-tree depth-first, but e.g. only to depth 5. Then, one searches the SLD-tree again up to depth 10 (printing only answers below depth 5). And so on.

• In the XSB-system, it one can switch on "tabling" for selected predicates. Then the system detects when the same selected literal appears again.

Then infinite loops can happen only when more and more complicated terms are constructed. For programs without function symbols (and built-in predicates), termination is guaranteed.

Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 000000000000	Four-Port Model
Contents				

Unification

- 2 SLD Resolution
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Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 000000000000	Four-Port Model ○●0000000000
Box Mod	lel (1)			

- Prolog uses SLD-resolution with
 - the first-literal selection function, and
 - depth-first search of the SLD-tree.
- However, the Prolog debugger does not show the entire proof goal (node label in the SLD-tree).
- Instead, it views predicates as nondeterministic procedures (procedures that can have more than one solution).
- The four-port debugger model is standard among Prolog systems.

Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 000000000000	Four-Port Model
Box Mod	lel (2)			

- Each predicate invocation (selected literal in the SLD-tree) is represented as a box with four ports:
 - CALL A: Call of A, find first solution.
 - **REDO** *A*: Is there another solution for *A*?
 - EXIT A: A solution was found, A is proven.
 - FAIL A: There is no (more) solution for A.

$$\begin{array}{c} \mathsf{CALL} \longrightarrow \\ \mathsf{FAIL} \longleftarrow \\ \mathsf{FAIL} \longleftarrow \\ \begin{array}{c} \mathsf{father}(X, \mathsf{eric}) \\ \longleftarrow \\ \mathsf{REDO} \end{array}$$



• E.g. consider the following small program:

father(ian, eric).
father(julia, eric).
father(eric, alan).

- Debugger output for the query father(X,eric):
 - CALL father(X, eric)
 - EXIT father(ian, eric)

Note that the proven instance is shown.

• Then the solution X/ian is displayed. Suppose one presses ";" to get more solutions.

Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 000000000000	Four-Port Model
Box Mod	lel (4)			

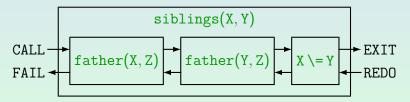
- Example debugger output, continued:
 - REDO father(X,eric)
 - EXIT father(julia,eric)
 - The solution X/julia is displayed. Some systems already know that there is no further solution. Otherwise, one can press again ";".
 - REDO father(X,eric)
 - FAIL father(X, eric)
 - The system prints "no".



• Suppose the program is extended with the rule

 $\texttt{siblings}(X,Y) \gets \texttt{father}(X,Z) \land \texttt{father}(Y,Z) \land X \setminus \texttt{=} Y.$

The box model is:



E.g. when the first or second body literal exists, the next body literal is called. When the last body literal is proven, siblings exits.

Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 000000000000	Four-Port Model ○○○○○○●○○○○○
Box Moc	lel (6)			

Debugger Output for the query siblings(ian, Y):

(1)	0	CALL	<pre>siblings(ian, Y).</pre>
(2)	1	CALL	father(ian,Z).
(2)	1	EXIT	father(ian,eric).
(3)	1	CALL	<pre>father(Y, eric).</pre>
(3)	1	*EXIT	father(ian,eric).
(4)	1	CALL	ian∖=ian.
(4)	1	FAIL	ian∖=ian.
(3)	1	REDO	<pre>father(Y,eric).</pre>
(3)	1	EXIT	father(julia, eric).
(5)	1	CALL	julia∖=ian.
(5)	1	EXIT	julia∖=ian.
(1)	0	EXIT	siblings(ian, julia)

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Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 000000000000	Four-Port Model
Box Mod	el (7)			

Remark:

- The exact form of the output depends on the Prolog system.
- The above output contains a box number in the first column and a nesting depth (call stack depth) in the second column.
- The asterisc "*" before EXIT marks that there are possibly further solutions (nondeterministic exit).

Otherwise, the box is already removed, and not visited during backtracking (i.e. no REDO-FAIL will be shown). Because of such optimizations, the debugger output might violate the pure four-port model.

Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 000000000000	Four-Port Model 00000000€000
Box Mod	el (8)			

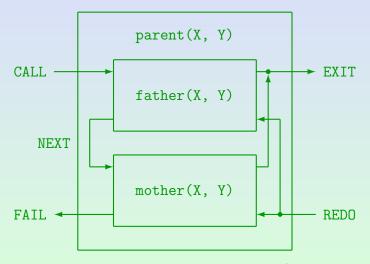
• Consider now a predicate defined with two rules:

 $parent(X, Y) \leftarrow father(X, Y).$ $parent(X, Y) \leftarrow mother(X, Y).$

• The box model for parent is shown on the next page.

There, also a port NEXT appears. This is a speciality of ECLiPSe Prolog. It shows when execution moves to another rule for the same predicate. In general, different Prolog systems have extended the basic Four-Port Model in various ways. E.g. SWI-Prolog can display a port "UNIFY" that shows the called literal after unification with the rule head.

Unification 000000000000	SLD Resolution	Computed Answers	SLD Trees 000000000000	Four-Port Model 0000000000000		
Box Model (9)						



REDO enters the inner box that was last left with **EXIT**.

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Unification SLD Resolution Computed Answers SLD Trees Concord Concord

• The debugger output is switched on by executing the built-in predicate "trace" (as a query).

It is switched off with "notrace". In SWI-Prolog, trace means only that the next query is traced.

- The debugger then displays a line for every port and waits for commands after each line.
- With "Return" one steps to the next port.
- Other commands are listed in the manual.

Often, they are displayed when one enters "?". The command "a" should stop execution of the query ("abort").

Unification SLD Resolution Computed Answers SLD Trees Concord Condition Cond

- It is possible to produce debugger output only selectively.
- One can set breakpoints ("spypoints") on a predicate with e.g.

spy father/2.

• If instead of "trace", one uses "debug", Prolog executes the program without interruption until it reaches a predicate with a spypoint set.

Then one can continue debugging as above or "leap" to the next spypoint (usually with the command "l"). Of course, there are "nodebug" and "nospy".