

Datenbanken II A: DB-Entwurf

Chapter 11: UML Class Diagrams I

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Objectives

After completing this chapter, you should be able to:

- read and write UML class diagrams.
- translate ER-schemas into UML class diagrams and vice versa.
- translate a UML class diagram into a relational database schema (as far as possible).
- explain differences between the object-oriented and the classical relational approach to database design.

Especially with regard to operations and keys. What are the implementation options for operations in a RDBMS?

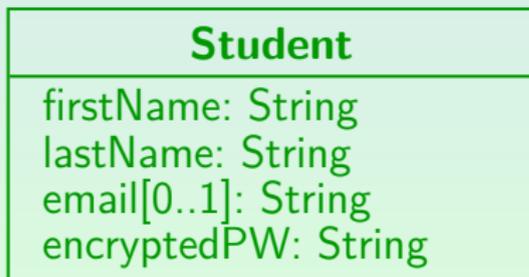
Contents

- 1 History and Importance of UML
- 2 Classes, Attributes**
- 3 Associations

Classes (4)

- Either or both of the middle and bottom compartment may be suppressed, i.e. it is possible to show only attributes, only operations, or none of the two.

Operations always have a parameter list (which may be empty), so if the rectangle has only two compartments, one can tell from the () whether operations or attributes are shown.

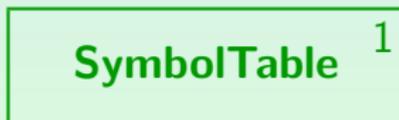


Classes (7)

- Abstract classes cannot have any direct instances (i.e. objects of that class cannot exist).

Abstract classes can be useful to define a common interface, subclasses of this class can have instances.

- One can also define a multiplicity of a class, i.e. the number of instances (objects) of that class. It is written in the upper-right corner of the class rectangle:



Extension Mechanisms (1)

- Besides the three predefined compartments (class name, attributes, operations), a class rectangle can have further user-defined named compartments.

One application in the database context would be a compartment for triggers.

- One such user-defined compartment is already defined in the UML specification: Responsibilities.
- Responsibilities explain the purpose of a class on a higher level than attributes and operations.

“A responsibility is a contract or an obligation of a class.”

[UML User Guide, p. 53]

Extension Mechanisms (3)

- **Stereotypes** modify/redefine the semantics of existing UML constructs.

So in effect one can add new constructs to the UML. Stereotypes correspond to creating a new subclass in the UML meta model.

- For instance, one can use the normal class notation, but add the stereotype “**utility**”. This means that
 - the attributes of the class are global variables,
 - the operations are global functions.

In this way, existing non-object-oriented library modules can be included.

Extension Mechanisms (4)

- The four standard stereotypes for classes are:
 - `metaclass`
 - `powertype`
 - `stereotype`
 - `utility`
- In addition, the following standard stereotypes or keywords apply to classes (continued on next slide):
 - `interface`
 - `type`

Extension Mechanisms (8)

- The standard tagged values for classes are
 - `documentation` (any text),
 - `location` (e.g. client or server),
 - `persistence`, and
 - `semantics`.
- If needed, one can mark database classes with `{persistence=persistent}` or just `{persistent}` and program classes with `{persistence=transient}` or just `{transient}`.

Extension Mechanisms (9)

- As already shown in the example, if a property is of an enumerated type and an enumeration value implies a unique property name, it suffices to put that value in the property list.
- Of course, `{persistent}` and `{transient}` should only be used if the same diagram shows both kinds of classes. Otherwise it would overload the diagram.

Attributes (1)

- “An attribute represents some property of the thing you are modeling that is shared by all objects of that class.”

[Booch et.al.: UML User Guide, 1999, p. 50]

- “An attribute is the description of a named slot of a specified type in a class, each object of the class separately holds a value of the type.”

[Rumbaugh et.al.: UML Reference Manual, 1999, p. 166]

Attributes (2)

Attribute Scope:

- Attributes can have
 - class scope (class attributes, static members), or
 - instance scope (normal attributes).
- Attributes of class scope have only one value for the entire class (even if the class has no objects).

Attributes of instance scope have one value for each object/instance of the class.

- Attributes of class scope are marked by underlining.

Attributes (3)

Attribute Visibility:

- Attribute visibility defines which classes can directly access the attribute (in their operations).
- There are three options:
 - **public** (+): The attribute is visible to any class that can see the class containing the attribute.
 - **package** (~): Visible to all classes of the package.
 - **protected** (#): Visible to the class itself and its subclasses.
 - **private** (-): Visible only to the class itself.

Attributes (4)

Multiple-Valued Attributes:

- UML permits multiple-valued attributes, i.e. sets or arrays. Example multiplicity specifications are:
 - [0..1]: Zero or one values.

This corresponds to an attribute that can be null.
 - [1..*]: A set with at least one element.

There is no upper bound on the number of elements. When translating a class with such an attribute into relations, one would create an extra table for this attribute. Exercise: Consider a class for web pages, where each web page has an URL, a title, and a set of keywords/search terms. Model this in UML and in the RM.
 - [3 ordered]: An array with three elements.

The default is “**unordered**”, i.e. a set.

Attributes (5)

Attribute Declaration:

- A full attribute declaration consists of:
 - Visibility: **+**, **~**, **#**, **-** (see above).
 - The name of the attribute.
 - The multiplicity (array/set), e.g. **[0..1]**, **[3]**.
 - A colon “**:**” and the type of the attribute.
 - An equals sign “**=**” and the initial value of the attribute.
- Of this, everything except the name is optional.

Attributes (6)

- Example:

```
+ProgramOfStudy [0..2]: String = "MIS"
```

- In addition, the standard UML extension mechanisms apply:
 - In front of an attribute declaration, a stereotype can be specified (enclosed in « and »).
 - After the attribute declaration, a property string (enclosed in { and }) can be added.
 - In the property string, one can specify, e.g., the following values:
 - `changeable` (the default),
 - `frozen` (cannot be changed after object is initialized),
 - `addOnly` (for attributes with multiplicity > 1).

Constraints (1)

- “With constraints, you can add new semantics or change existing rules.”

[Booch et.al.: The UML User Guide, 1999, page 82]

- This is not quite the usual notion of a constraint: In databases, a constraint can only restrict DB states.

This shows again that database people and UML people do not speak the same language. To be fair, the UML reference manual states “A constraint is a semantic condition or restriction expressed as a linguistic statement in some textual language.”

[Rumbaugh et.al.: The UML Reference Manual, 1999, page 235]

- Constraints are one of the three UML extension mechanisms (besides stereotypes, tagged values).

Constraints (2)

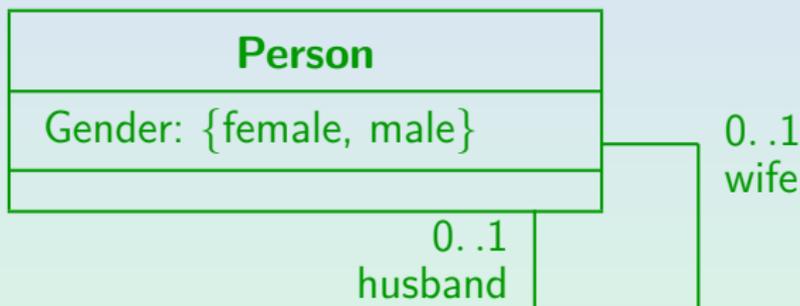
- Constraints are enclosed in { and } and written near to the element to which they apply.

A constraint can be connected with dashed lines to the diagram elements to which it applies (if it is not clear from its position). It can be written into a note box, or simply on the diagram background.

- Constraints can be written
 - as free-form text,
 - in a formal logical language, especially OCL:
UML's Object Constraint Language,
 - in a programming language.
 - as predefined name/abbreviation.

Constraints (4)

- Example (using OCL for a relationship):



`{self.wife.gender = female and
self.husband.gender = male}`

[Booch et al., UML User Guide, 1999, p. 82]

Derived Attributes (1)

- Attributes are derived if can be computed from other attributes.

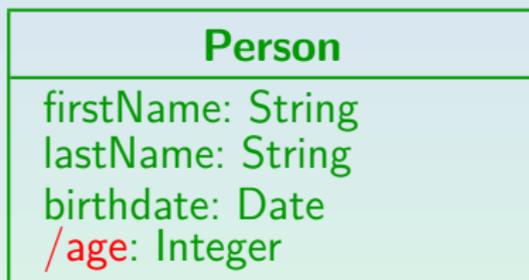
The derivation formula can be shown as a constraint.

- Derived attributes should normally not be stored in the database, because they are redundant.

Therefore, they seldom appear in conceptual database schemas (they do not give any additional information). However, if they are important concepts in the application domain, they can be included if they are explicitly marked as “derived”. Then they will typically be translated into a view, not into a stored column. There is no real difference between a derived attribute and a query operation.

Derived Attributes (2)

- Derived attributes are marked by putting a slash “/” in front of their name:



- Also other model elements can be derived. They are marked in the same way.

E.g. relationships (called “associations” in UML, see below) might be computable from other relationships and/or attributes.

Keys

- UML has no built-in notion of keys.

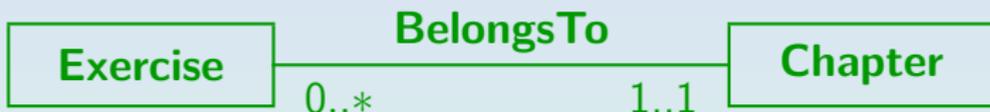
The idea is that objects automatically have an object identity, i.e. a surrogate key (an automatically generated number). However, at least externally objects must be identified in user input. Internal numbers/addresses are difficult for this purpose.

- One can extend UML in order to add keys. Several proposals exist, one is to add “`{oid}`” (or “`{pk}`”) as property list to the primary key attributes.

One would use “`{oid1}`” (or “`{ak1}`”) for the attributes of the first alternative key, and so on. Some proposals also permit to define the sequence of the attributes in composed keys.

Associations (1)

- Relationships are called “**associations**” in UML:



- Note that the cardinalities are written on the opposite side of the standard (min,max)-cardinalities:
 - Each exercise belongs to exactly one chapter.
 - A chapter can contain any number of exercises.
- Cardinalities are called **multiplicities** in UML.

Associations (3)

- In OODBs, associations are usually implemented by pointers that are the inverse of each other:

```

class Chapter (extent chapters)
{
  attribute unsigned short number;
  attribute string title;
  relationship set<Exercise> contains
                        inverse Exercise::belongs_to;
};

class Exercise (extent exercises)
{
  ...;
  relationship Chapter belongs_to
                        inverse Chapter::contains;
};

```

Associations (4)

- The example above is in the ODMG ODL.

The Object Data Management Group has defined a standard for object-oriented database systems. The Object Definition Language is used for defining database schemas.

- In order to traverse the relationship efficiently in both directions, pointers are needed in both participating classes.
- If the system knows the inverse relationship, it can ensure the consistency.

In particular, when an object is deleted, dangling pointers can be avoided, since the system knows which other objects contain pointers to the deleted object.

Multiplicity (1)

- A multiplicity specification consists of a comma-separated list of intervals, e.g. $0..2,5..6$ means that the following numbers are possible: $0,1,2,5,6$.
- An interval consisting only of a single number can be denoted by that number, e.g. 1 is an abbreviation for the interval $1..1$.
- “*” denotes an unbounded number, e.g. $0..*$ is the most general interval (any number).
- $0..*$ can be shortend to $*$.

Multiplicity (3)

- The disadvantage of this notation is that if entities are introduced for many-to-many relationships, multiplicities must be moved around:



- With the standard (min,max)-notation this does not happen.
Because the number of outgoing edges does not change in this transformation.

Multiplicity (4)

- Another disadvantage is that if associations are implemented by pointers (as usual in object-oriented languages), the multiplicity is on the opposite side:



- Here, each **Exercise** object contains a single pointer to a **Chapter** object.
 - One can get of course used to the UML notation and look to the other side. The multiplicity is near to the type of the pointer, which might be considered an advantage.
- But each **Chapter** object contains a set of pointers to **Exercise** objects (if this direction is supported).

Reading Direction

- One can use the symbol “▶” to make the direction of the name clear (this is optional):



- Also ◀ ▲ ▼ can be used:



- Of course, it is best to choose names that read from left to right and from top to bottom.

Role Names (4)

- Often, the class name itself can be used as role names. Then it is not necessary to add an explicit role name (actually, it is difficult to invent one).



Note: The brackets “[...]” are not UML notation. They are intended to indicate that it does not matter whether these role names are explicitly written or not: They are the default.

- Then the table/class “**Exercises**” would have a foreign key (pointer attribute) “**Chapter**”.

Conversely, “**Chapter**” might have a set-valued attribute “**Exercises**”.

Role Names (5)

- The names used in the Barker Notation on both ends of the relationship are not role names in the sense of UML:



- UML tools would add a foreign key/pointer attribute “**Contains**” to the table/class “**Exercises**”.

I.e. just the wrong way around.

- The names in the Barker Notation are really association names for both directions, not role names.

Visibility

- Since relationships are implemented by attributes/operations, it possible to specify a visibility at the association ends:



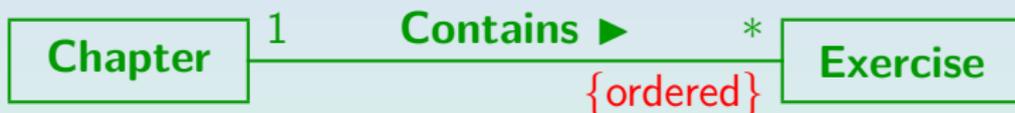
[Example from Booch et.al: UML User Guide, 1999, p. 145]

- This means that everybody who has access to a Password object, can navigate from there to the corresponding User.
- However, only operations of the User class can follow the link to the passwords.
- Thus the visibility is denoted at the opposite end of the association (the end to which one wants to navigate).

This is natural, since the role name and the multiplicity on the opposite end of the association determine the pointer attribute for this class.

Collection-Type (2)

- However, one can specify in UML that the order of exercises in a chapter is significant:



- Then not a set, but a list will be used to hold the pointers to exercises (but duplicates are still not allowed).
- “**{ordered}**” can also be used on one or both sides of a many-to-many relationship.

Only for multiplicities 0..1 and 1 it makes no sense.

Collection-Type (4)

- In a relational implementation, one would add a number to the exercises table (exercise number within chapter) in addition to a foreign key referencing the chapter.

`EXERCISES(ID, . . . , CHAPTER→CHAPTERS, SORT_NO)`

- `CHAPTER` and `SORT_NO` together are an alternative key for `EXERCISES`.

This ensures that there is really a defined sequence for the exercises within one chapter.

Collection-Type (5)

- Note that “**{ordered}**” means that additional information needs to be stored besides the set of links between objects.
- If the exercise objects already contain an exercise number, so that the order can be derived from this information, “**{ordered}**” would not be correct (redundant information).

One can use “**{sorted}**” to indicate that for a more efficient implementation, it would be good to store the links sorted by some criterion, e.g. the exercise number.

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